

**1st DISCOUNT BROKERAGE, INC.**  
**DIGITAL ADVISORY FEE SCHEDULE**  
**EFFECTIVE APRIL 01, 2020**

**Advisory Fees**

Do It Yourself	Do It Together
Annual fee: 0.3% (30 basis points) of the end of day total account balance, calculated on a daily basis	Annual fee: 0.5% (50 basis points) of the end of day total account balance, calculated on a daily basis
Monthly Billing	Monthly Billing
<ul style="list-style-type: none"> <li>• Low-cost</li> <li>• Personalized financial investing</li> <li>• Automated investing</li> <li>• Automatic rebalancing</li> <li>• Customer service</li> </ul>	<ul style="list-style-type: none"> <li>• Everything included in Do It Yourself</li> <li>• Guidance from an advisor</li> <li>• Personalized financial advice</li> </ul>

**Other Service Fees and Charges**

<b><u>BANKING:</u></b>	
ACH Notice of Change/Correction	5.00
Paper Check Draft/Regular Mail - Domestic	6.00
Returned Checks/ACH/Wires and Recalls	35.00
Stop Payments on Apex Issued Checks	35.00
Third Party Distribution Notification	5.00
Outgoing Wire Transfers (Domestic)	30.00
Incoming Wire Transfers (Domestic)	8.00
<b><u>FEES:</u></b>	
ACATS - (Full & Partial)	150.00
Account Termination	40.00
Copy of Historic Monthly Statement, Tax Document or Confirmation	6.00
Retirement Account Termination Fee	150.00
Retirement Account Annual Maintenance Fee (minimum account opening balance: \$1,000 )	50.00
Liquidations to Cover Debit	50.00
Overnight Mail - Domestic (per request)	50.00
<b><u>PAPER FEES:</u></b>	
All Mailing Notices	6.00
Paper Confirms	3.00
Paper Statement and/or Tax Documents	6.00
Return Mail	6.00
<b><u>REGULATORY:</u></b>	
Section 31 Fee	22.10 per million dollars.
TAF	0.0001119 per share (maximum 5.95 per trade)